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COMPANY: Sandra Kushner

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ED STATES DEPARTMENT OF COMMERCE n Petent and Tradering BRIONER FOR PATENTS status 22313-1430

APPLICATION NUMBER

ILINO OR 371 (c) DATE

TRIST NAMED APPLICA

ATTOXNEY DOCKET NUMBER

10/786,959

02/25/2004

Toreki

OMT-IIC

CONFIRMATION NO. 4101

FORMALITIES LETTER

OC000000012696667

Date Mailed: 05/18/2004

SUITE 114 201 SE 2ND AVENUE GAINESVILLE, FL 32601

1 8 2004

& TRADEM

GERARD H. BENCEN

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

 The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

 To avoid abandonment, a late filling fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$65 for a Small Enity

\$65 Late oath or declaration Surcharge.

Replies should be malled to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

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on October 18, 2004

The undersigned, Sandra G. Kushner, hereby avers that all statements on this Certificate of Transmission are true pursuant to the provisions of and penalties under 18 U.S.C. Section 1001.

Sandra G. Kushner, Paralegal to Gerry J. Elman, Reg. 24,404
Customer no. 003775

10/786,959 U.S. Patent Application 4101 Confirmation no. Title: Controlled release of biologically active substances from select substrates Toreki First named inventor: QMT1.1-CIP2-US Attorney Docket No. Piece(s) transmitted herewith: piece(s) Certificate of Facsimile Transmission piece(s) Transmittal Form piece(s) Fee Transmittal Form piece(s) **ADS** piece(s) Petition for Extension of Time piece(s) Formalities Letter 3 piece(s) **Declarations** piece(s) 3 Powers of Attorney 13 piece(s) Total piece(s) this submission

| Under the Paparwork Radaction Act of 1996 or TRANSMITTAL FORM | Application Number Filing Date First Named Inventor Art Unit Examiner Name | PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0851-0031 Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE flaction of information unless it displays a velid OMB control number. 10 / 786, 9.5 9 February 25, 2004 Toroid NA NA CMT1.1-CIP2-US |
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| Total Number of Pages in This Submission | 27 | |
| ENCLOSURES (Check all that apply) | | |
| Fee Transmittal Form Fee Attached Amendment/Repty After Final Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Repty to Missing Parts/ Incomplete Application Repty to Missing Parts under 37 CFR 1.52 or 1.53 | Drawling(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on C | Other Enclosure(s) (please Identity below): ADS: 2 sheel(s) |
| SIGNA | TURE OF APPLICANT, ATT | ORNEY, OR AGENT |
| Firm Name Elman Technology Law, P Signature Printed name Gerry J. Elman | <u></u> | |
| Date October 18, 2004 | / | Reg. No. 24,404 |
| CERTIFICATE OF TRANSMISSION/MAILING I hareby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope-addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature | | |
| Typed or printed name Gerry J. Elman | /WY J | Data October 18, 2004 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the complete application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form ancion suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Petent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a yelld QMB control number. Complete if Known FEE TRANSMITTA 10/786.959 Application Number February 25, 2004 Filing Date for FY 2004 Torekt First Named Inventor Effective 10/01/2003. Patent fees are subject to ennual revision. Examiner Name Applicant claims small entity status. See 37 CFR 1.27 NA Art Unit QMT1.1-CIP2-US (\$) 555.00 Attorney Docket No. TOTAL AMOUNT OF PAYMENT FEE CALCULATION (continued) METHOD OF PAYMENT (check all that apply) 3. ADDITIONAL FEES Other Check Credit card erge Entity . Small Eatity Deposit Account: Fee Description Fee Code Fee Code F00 (\$) (0) Foe Pald Deposit Account Number 05-0845 65.00 65 Surcharge - late filing fee or cette 2051 1051 130 Surcharge - late provisional Sling fee or cover sheet 1052 50 2052 25 Deposit Account Elman Technology Law, P.C Non-English specification 130 1053 130 1053 The Director is authorized to: (check ell that apply) 1812 2,620 For filing a request for ex perto reexamination 1812 2,520 Credit any overpayments Charge tea(s) indicated below 920° Requesting publication of SIR prior to Examiner action 1804 1804 820° Charge any additional fee(s) or any underpayment of fee(s) Requesting publication of SIR effer Examiner action Charge fee(s) indicated below, succept for the filling fee 1805 1.840* 1805 1.840 to the above-identified deposit account. Extension for raply within first month 2251 1261 110 FEE CALCULATION 210 Extension for reply within second month 2252 1252 420 490.00 1. BASIC FILING FEE 475 Extension for raply within third month 2253 1253 950 arge Entity Small Entity Fee Paid 740 Extension for raply within fourth month 2254 1.480 1254 Fee Description Fee Fee Code (\$) Fee Code 1.005 Extension for reply within fifth month 1256 2.010 2255 Litility filling fee 1001 7,70 2001 395 165 Notice of Appeal 1401 530 2401 1002 340 2002 170 Design filing fee 165 Filling a brief in support of an appeal 2402 1402 930 Plant filing foo 1003 530 2003 285 146 Request for oral hearing 2403 1403 290 Reiseue filing foc 2004 385 1004 770 1451 1,510 Patition to institute a public use proceeding 1451 1,610 Provisional filing too 2005 1005 160 55 Patition to revive - unavoidable 2462 110 1452 SUBTOTAL (1) (\$) 665 Petition to revive - unintertional 2453 1453 1,330 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE
Fee from
Extra Claims below Fee Paid 1504 1,330 2501 665 Utility issue fee (or release) 240 Design Issue fee 1502 480 2502 2503 820 Plant issue foc Total Claims 1503 640 Independent Cialms Multiple Dependent 130 Petitions to the Commissioner 1480 1460 130 50 Processing fee under 97 CFR 1.17(q) 80 1807 1807 180 Submission of Information Disclosure Strnt arge Entity Small Entity 1806 180 1808 40 Recording each patent assignment per Fee Description 8021 Code (5) 8021 40 property (times number of properties) Code (\$) Claims in excess of 20 385 Filing a submission effer final rejection (37 CFR 1.129(a)) 2202 1207 15 770 2809 1809 Independent claims in excess of 3 43 1201 66 2201 385 For each additional invention to be examined (37 CFR 1.129(b)) Multiple dependent ctalm, if not paid 770 2810 1810 1203 290 2203 145 ** Reissue Independent claims over original patent 385 Request for Continued Examination (RCE) 1204 86 2204 43 1801 770 2801 Request for expedited examination of a design explication 1602 800 1802 Reissue claims in excess of 20 and over original patent 2205 1205 18 Other two (specify) (3) SUBTOTAL (2) Reduced by Basic Filing Fee Paid (\$) 555.00 SUBTOTAL (3) *for number previously paid, If greater, Por Reissues, see above (Complete (#applicable)) Telephone \$10-892-9942 Gerry J. Elman

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Oct., 18, 2004

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